Class Hours: Group A1: Monday 12 nn to 3 pm  
Group A2: Tuesday 12 nn to 3 pm  
Consultation: E-mail at least the day before  
Prerequisites: FIN2004  
Course Objective:  
The objective of this course is to give students a general understanding of the different financial markets and institutions in the context of both the U.S. and Singapore. The financial assets traded in these markets, the financial services and instruments these institutions offer, and the mechanisms and characteristics influencing the value of these assets and instruments will also be discussed.
Assessment: This is a 100% CA course

<table>
<thead>
<tr>
<th>Assessment Component</th>
<th>Weight</th>
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<tbody>
<tr>
<td>Term Test</td>
<td>30</td>
</tr>
<tr>
<td>Term project</td>
<td>30</td>
</tr>
<tr>
<td>Final Test</td>
<td>25</td>
</tr>
<tr>
<td>Class participation</td>
<td>15</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>100</strong></td>
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**Term Test:**
Date: October 12, 2019 (Saturday) – Tentative depending on the availability of venue
The term test will be a 1½ hour close-book test. Students are to make sure that they are available to sit for the test.

**Final Test:**
Date: Week 13 (In class)
The final test will be a 1¼ hour close-book test. The test will be held in class. Students are to make sure that they are available to sit for the test.

**Term project:**
The term projects will be in terms of Student Teaching Assignments. The objective of the project is to allow students to research into issues and questions related to the topics covered in the course and to share the knowledge they have acquired with the rest of the class. Students will be grouped randomly. The research questions and the date of the presentation will also be randomly assigned. Groups will be graded based on a written report (due on October 5) and the presentation (which will be spaced out throughout the last weeks of the semester). All soft copies of the projects will be put up in the IVLE and the material covered in these reports may be included in the final quiz.

**Class participation:**
Students are expected to participate actively during classes.
Points to Note:
1. Financial calculators may be needed in the course.
2. **Attendance:** Students must not miss more than 2 classes (not including absence due to medical (accompanied by medical certificates) or compassionate reasons). **Violators will be heavily penalized.**
3. CA Attendance: Students who miss a CA component will receive zero marks for that particular component. Absentees due to medical (accompanied by medical certificates) or compassionate reasons may be given a substitute form of assessment.
4. Students are encouraged to always feedback to the instructor comments and suggestions that may help the class to learn better.
5. Students are to check the IVLE weekly for announcements.
6. Forum in IVLE exclusively for students’ discussions
7. Please use NUS e-mail for e-mail communications

**SPECIAL NOTE FROM THE BBA OFFICE:**

**ACADEMIC HONESTY & PLAGIARISM**

Academic integrity and honesty is essential for the pursuit and acquisition of knowledge. The University and School expect every student to uphold academic integrity & honesty at all times. Academic dishonesty is any misrepresentation with the intent to deceive, or failure to acknowledge the source, or falsification of information, or inaccuracy of statements, or cheating at examinations/tests, or inappropriate use of resources.

Plagiarism is ‘the practice of taking someone else's work or ideas and passing them off as one's own' (The New Oxford Dictionary of English). The University and School will not condone plagiarism. Students should adopt this rule - You have the obligation to make clear to the assessor which is your own work, and which is the work of others. Otherwise, your assessor is entitled to assume that everything being presented for assessment is being presented as entirely your own work. This is a minimum standard. **In case of any doubts, you should consult your instructor.**

Additional guidance is available at:
[http://www.nus.edu.sg/registrar/adminpolicy/acceptance.html#NUSCodeofStudentConduct](http://www.nus.edu.sg/registrar/adminpolicy/acceptance.html#NUSCodeofStudentConduct)

Online Module on Plagiarism:
Lesson Schedule (Tentative):

<table>
<thead>
<tr>
<th>Week</th>
<th>Week Starting</th>
<th>Topic and Activity</th>
<th>Chapters</th>
</tr>
</thead>
</table>
| 1    | Aug 11       | *Public Holiday: Hari Raya Haji*  
*No classes for both A1 and A2 classes* |                   |
| 2    | Aug 18       | Introduction and Financial Institutions I | 1 and 2 |
|      | Aug 24 (Saturday) | Introduction and Financial Institutions I  
*Make-up for Hari Raya Haji* | 1 and 2 |
| 3    | Aug 25       | Financial Institutions II: Banks | 3 |
| 4    | Sept 1       | Financial Institutions II: Banks | 3 |
| 5    | Sept 8       | Interest Rates and the Debt Market | 4 |
| 6    | Sept 15      | Interest Rates and the Debt Market  
The Equity Market | 4 and 5 |
|      | Sept 22      | *Term Break* |                   |
| 7    | Sept 29      | The Equity Market | 5 |
| 8    | Oct 6        | Forex Market | 6 |
|      | Oct 12       | *Term Test* |                   |
| 9    | Oct 13       | Forex Market | 6 |
| 10   | Oct 20       | *Mid-Term Review (tentative)*  
*Project Presentations 1, 2, 3* |                   |
| 11   | Oct 27       | Derivatives Market  
*Project Presentations 4, 5, 6* | 7 |
| 12   | Nov 3        | Derivatives Market  
*Project Presentations 7, 8* | 7 |
| 13   | Nov 10       | *Final Test* |                   |