



NATIONAL UNIVERSITY OF SINGAPORE
Department of Finance



FIN3103A/FIN3703A: Financial Markets
(Semester II, 2020/2021)

Instructor: Assoc Prof YEO Wee Yong
Office: BIZ1 07-57
Telephone: 6516-3139
E-mail: bizyeowy@nus.edu.sg

Recommended Textbook: Wee Yong, YEO (2019), "Financial Institutions and Markets: with a Singapore Perspective" 1st edition, Pearson Education

Prerequisites: FIN2004

Class Arrangement:

F2F classes should resume in Semester II. Hence, students should come for F2F classes as long as they are in Singapore and are not symptomatic. Zoom sessions (and Zoom recordings) will only be set up when necessary (that is, when the class size exceeds the sitting capacity allowed). Nevertheless, the class arrangement may change as the pandemic situation changes.

Course Objective:

The objective of this course is to give students a general understanding of the different financial markets and institutions in the context of both the U.S. and Singapore. The financial assets traded in these markets, the financial services and instruments these institutions offer, and the mechanisms and characteristics influencing the value of these assets and instruments will also be discussed.

Assessment: This is a 100% CA course

(Subject to change if the pandemic situation changes)

Class Participation	15
Term Test I	30
Term Test II	25
Term Project	30
Total	100

Class Participation:

Students are expected to participate actively during classes. Marks will be awarded not based on quantity but how much one contributes to the learning of the class.

Term Test I:

Date: March 6 (Saturday)

This term test will be a 90-minute test. Students are to make sure that they are available to sit for the test.

Term Project:

This is a group project. The objective of the project is to allow students to research into issues and questions related to the topics covered in the module and to share the knowledge they have acquired with the rest of the class. The project consists of a written report (**due on March 13**) and a presentation (during the last two weeks of the semester).

Term Test II:

Date: Week 11 (During class time)

This term test will be a 75-minute test. Students are to make sure that they are available to sit for the test.

Points to Note:

1. Financial calculators may be needed in the course.
2. **Attendance:** Students must not miss more than 2 classes (not including absence due to medical (accompanied by medical certificates) or compassionate reasons). **Violators will be heavily penalized.**
3. CA Attendance: Students who miss a CA component will receive zero marks for that particular component. Absentees due to medical (accompanied by medical certificates) or compassionate reasons may be given a substitute form of assessment.
4. Students are encouraged to always feedback to the instructor comments and suggestions that may help the class to learn better.
5. Students are to check the LumiNUS weekly for announcements.
6. Forum in LumiNUS exclusively for students' discussions in topics pertaining to finance
7. Please use NUS e-mail for e-mail communications

Lesson Schedule (**Tentative**):

Week	Week Starting	Topic and Activity	Chapters
1	Jan 10	Introduction and Financial Institutions I	1 and 2
2	Jan 17	Introduction and Financial Institutions I	1 and 2
3	Jan 24	Financial Institutions II: Banks	3
4	Jan 31	Financial Institutions II: Banks	3
5	Feb 7	Interest Rates and the Debt Market	4
6	Feb 14	Interest Rates and the Debt Market The Equity Market	4 and 5
	Feb 21	Term Break	
7	Feb 28	The Equity Market	5
	Mar 6 (Saturday)	Term Test I	
8	Mar 7	Forex Market	6
9	Mar 14	Review of Term Test I (tentative) Forex Market	6
10	Mar 21	Derivative Markets	7
11	Mar 28	Term Test II Derivative Markets	
12	Apr 4	<i>Project Presentations 1, 2, 3, 4</i>	
13	Apr 11	Review of Term Test II <i>Project Presentations 5, 6, 7, 8</i>	