



NATIONAL UNIVERSITY OF SINGAPORE

Department of Finance

FIN3703B: Financial Markets
(Semester II, 2025/2026)



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Recommended Textbook: Wee Yong, YEO (2019), "Financial Institutions and Markets: with a Singapore Perspective" 1st edition, Pearson Education
(The link for the e-book will be available in Jan)

Prerequisites: FIN2004

Course Objective:

- Students will be able to have a general understanding of the different financial markets and institutions in the context of mainly the U.S. and Singapore.
- Students will be able to understand the different financial assets traded in these markets and their uses.
- Students will be able to understand the different financial services and instruments common financial institutions offer, the risks associated with these services and the way to manage such risks.

Assessment:

This is a 100% CA course

Class Participation	15
Term Test I	30
Term Test II	25
Term Project	30
Total	100

Class Participation:

Students are expected to participate actively during classes. Marks will be awarded not based on quantity but how much one contributes to the learning of the class.

Term Test I:

This term test will be held (pending successful booking of test venues) on **March 14** (Saturday). Students are to make sure that they are available to sit for the test.

Term Project:

This is a group project. The objective of the project is to allow students to research into issues and questions related to the topics covered in the module and to share the knowledge they have acquired with the rest of the class. The project consists of a written report (**due on March 20, 6 pm**) and a presentation.

Term Test II:

This term test will be held during **class time on Week 13**. Students are to make sure that they are available to sit for the test.

Important Points to Note:

1. **Financial calculators** may be needed in the course.
2. **Attendance:** For weeks when there is no project presentation, students must not miss more than 2 classes without valid reasons. Absence during weeks where there are project presentations must be accompanied by valid reasons. **Violators will be heavily penalized.**
3. **CA Attendance:** Students who miss a CA component without valid reasons will receive zero marks for the particular component. Absentees with valid reasons may be given a substitute form of assessment.
4. Students are encouraged to always **feedback** to the instructor with comments and suggestions that may help the class to learn better.
5. Please use **NUS e-mail** for e-mail communications.
6. Students are to check Canvas and emails regularly for **announcements**.
7. Students should help to create a conducive learning environment, including being punctual and complying with instructions given and announcements made during the course of the semester. **Students who fail to do so will be penalized.**

ACADEMIC HONESTY & PLAGIARISM

Academic integrity and honesty is essential for the pursuit and acquisition of knowledge. The University and School expect every student to uphold academic integrity & honesty at all times. Academic dishonesty is any misrepresentation with the intent to deceive, or failure to acknowledge the source, or falsification of information, or inaccuracy of statements, or cheating at examinations/tests, or inappropriate use of resources.

Plagiarism is 'the practice of taking someone else's work or ideas and passing them off as one's own' (The New Oxford Dictionary of English). The University and School will not condone plagiarism.

Artificial Intelligence (AI) tools such as ChatGPT do not require specialist knowledge to use. Many of these AI tools are commonly used in social media, for example, to create content and disguise and refine content created from programmes like ChatGPT. We understand that students will be drawn to using these AI Tools, as they would for any other electronic aid.

However, to be clear, normal academic rules still apply. As noted in the Code of Student Conduct:

"The University takes a strict view of cheating in any form, deceptive fabrication, plagiarism and violation of intellectual property and copyright laws. Any student who is found to have engaged in such misconduct is subject to disciplinary action by the University."

With respect to AI tools (e.g., ChatGPT and image generation tools), your instructor will clarify whether the use of these tools as inputs into your assignment development process is acceptable. AI is a technology that requires skill to use, and knowledge about when and how to use it. If you use ChatGPT or any other such AI tool in your work, you must provide a proper representation of how you used the tool and what prompts you used to generate output. Failure to cite its use constitutes academic misconduct.

Further, as with any information source, be aware that minimal efforts yield low quality results. You will need to refine your work and fact check the output, as you would double-check information from any source. Further, you should be selective in how and when you use such tools instead of using it for each and every assignment you create.

To summarise:

1. Always check with your instructors on what are the permitted uses of AI tools.
2. Have a discussion at the start of a course about the use of AI.
3. Where permitted, acknowledge your use of AI.
4. You remain responsible for the quality of your work and its appropriate representation.
5. Failure to follow the above steps can lead to a concern about plagiarism (academic dishonesty).

As always, you have the obligation to make clear to the assessor which is your own work, and which is the work of others. Otherwise, your assessor is entitled to assume that everything being presented for assessment is entirely your own work. This is a minimum standard.

Additional guidance can be found at:

Admission Condition: <http://www.nus.edu.sg/registrar/administrative-policies-procedures/acceptance-record#NUSCodeofStudentConduct>

NUS Code of Student Conduct: <http://nus.edu.sg/osa/resources/code-of-student-conduct>

Academic Integrity Essentials: <https://libguides.nus.edu.sg/new2nus/acadintegrity#s-lib-ctab-22144949-4>

Guidelines on the Use of AI Tools For Academic

Work: <https://libguides.nus.edu.sg/new2nus/acadintegrity#s-lib-ctab-22144949-3>